ENEE 420
FALL 2012
COMMUNICATION SYSTEMS
ANSWER KEY TO TEST \# 1:

1. $\qquad$
1.a. As shown in class, $H_{2}(\boldsymbol{u})=H_{2}(M)=\log _{2} M$.
1.b. The optimal code $C_{2^{L}}^{\star}:\left\{1, \ldots, 2^{L}\right\} \rightarrow\{0,1\}^{\star}$ is the one that corresponds to the full tree with $2^{L}$ terminal nodes (labelled $1, \ldots, 2^{L}$ ). Every codeword having length $L$, this code is indeed optimal since its average code length coincides with the entropy of the source, namely $H_{2}(M)=\log _{2} M=\log _{2}\left(2^{L}\right)=L$. One way to describe $C_{2 L}^{\star}$ is as follows: For each $m=1, \ldots, 2^{L}$, write $C_{2^{L}}^{\star}(m)$ as the $L$-bit binary expansion of $m-1$.
1.c. Consider now the general case $M=2^{L}+K$ with integers $L$ and $K$ satisfying

$$
L=1,2, \ldots \quad \text { and } \quad K=0, \ldots, 2^{L}-1
$$

With code $C_{2^{L}}^{\star}:\left\{1, \ldots, 2^{L}\right\} \rightarrow\{0,1\}^{\star}$ described in Part 1.b, we first set

$$
C_{M}^{\star}(m)=C_{2^{L}}^{\star}(m), \quad m=1, \ldots 2^{L}-K .
$$

Next, on the remaining range $m=2^{L}-K+1, \ldots, 2^{L}+K$, group the symbols in pairs, say

$$
2^{L}-K+2 k+1 \quad \text { and } \quad 2^{L}-K+2(k+1), \quad k=0,1, \ldots, K-1
$$

The corresponding codewords are now defined by

$$
C_{M}^{\star}\left(2^{L}-K+2 k+1\right)=\left[C_{2 L}^{\star}\left(2^{L}-K+k\right), 0\right]
$$

and

$$
C_{M}^{\star}\left(2^{L}-K+2(k+1)\right)=\left[C_{2^{L}}^{\star}\left(2^{L}-K+k\right), 1\right] .
$$

This corresponds to the following procedure (up to a relabeling of the nodes): Starting with the full binary tree associated with $C_{2^{L}}^{\star}$ (for the alphabet $\left\{1, \ldots, 2^{L}\right\}$ ), keep the terminal nodes corresponding to the symbols $m=1, \ldots, 2^{L}-K$, and at each of the remaining nodes (which correspond to the symbols $m=2^{L}-K+1, \ldots, 2^{L}$ for the alphabet $\left\{1, \ldots, 2^{L}\right\}$ ), extend the tree by adding its two siblings. This code is optimal because it will be produced by the Huffman algorithm.

It is immediate that $M-K$ codewords have length $L$, and that $2 K$ codewords have length $L+1$, so that

$$
\begin{align*}
L(M) & =\frac{1}{M}\left(\left(2^{L}-K\right) L+2 K(L+1)\right) \\
& =\frac{1}{M}\left(\left(2^{L}+K\right) L+2 K\right) \\
& =L+2\left(\frac{K}{M}\right) \tag{1.1}
\end{align*}
$$

1.d. To have $L(M)=H_{2}(M)$ means that the optimal code $C_{M}^{\star}$ achieves the entropy bound. However, we know that this happens if and only if the underlying pmf is of the form

$$
\frac{1}{M}=2^{-m(x)}, \quad x=1, \ldots, M
$$

with positive integers $m(1), \ldots, m(M)$. Obviously this requires $m(1)=\ldots=m(M)=$ $m^{\star}$ with $m^{\star}$ determined by

$$
\frac{1}{M}=2^{-m^{\star}}
$$

Put another way, the equality $L(M)=H_{2}(M)$ requires that $M$ be a power of two!
2.
2.a. Here

$$
\boldsymbol{G}=[1111 \mid 1] \quad \text { with } \quad \boldsymbol{P}=[1111] .
$$

2.b. Since $C$ is a linear ( 5,1 )-block code, we get $n=5$ and $k=1$, so that there are $2^{4}=16$ distinct cosets.
2.c. To construct the standard array we recall that for this repetition code we have

$$
\mathcal{C}=\{00000,11111\}
$$

See table below.
2.d. The binary vector $\boldsymbol{r}=(11001)$ is received. Going to the standard array, we check that $\boldsymbol{r}$ is in the coset $C_{8}$ with leader $\boldsymbol{x}_{8}=(00110)$, and that

$$
(11001)=\boldsymbol{x}_{8}+\mathbf{1}_{5}
$$

so that the decoding will return the codeword $\mathbf{1}_{5}$, hence the binary $\hat{m}=1$ was sent.
2.e. When using a repetition code, Nearest Neighbor decoding is equivalent to majority decoding. As a result, the received vector $\boldsymbol{r}=(11001)$ is decoded into $\widehat{\boldsymbol{c}}_{\text {Near }}=(11111)$.

| $\ell$ | Leader $\boldsymbol{x}_{\ell}$ |  |  |
| :---: | :---: | :---: | :---: |
| 1 | 00000 | 00000 | 11111 |
| 2 | 00001 | 00001 | 11110 |
| 3 | 00010 | 00010 | 11101 |
| 4 | 00100 | 00100 | 11011 |
| 5 | 01000 | 01000 | 10111 |
| 6 | 10000 | 10000 | 01111 |
| 7 | 00011 | 00011 | 11100 |
| 8 | 00110 | 00110 | 11001 |
| 9 | 01100 | 01100 | 10011 |
| 10 | 11000 | 11000 | 00111 |
| 11 | 00101 | 00101 | 11010 |
| 12 | 01010 | 01010 | 10101 |
| 13 | 10100 | 10100 | 01011 |
| 14 | 01001 | 01001 | 10110 |
| 15 | 10010 | 10010 | 01101 |
| 16 | 10001 | 10001 | 01110 |
|  |  |  |  |

3. $\qquad$
3.a.

$$
\begin{equation*}
0001001100001110011110001100011011000111011011100 \ldots \tag{1.2}
\end{equation*}
$$

3.b. The resulting bit stream will be

| 00000 | 0 | 000010 | 00000 | 1 | 00010 | 1 | 00011 | 0 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | | 01000 | 0 | 00111 | 0 | 001001 | 00001 | 1 | 010101 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |


| $N$ | $N$ binary | Phrase | Codeword |
| :---: | :---: | :---: | :---: |
| 0 | 00000 | (empty) |  |
| 1 | 00001 | 0 | $00000-0$ |
| 2 | 00010 | 00 | $00001-0$ |
| 3 | 00011 | 1 | $00000-1$ |
| 4 | 00100 | 001 | $00010-1$ |
| 5 | 00101 | 10 | $00011-0$ |
| 6 | 00110 | 000 | $00010-0$ |
| 7 | 00111 | 11 | $00011-1$ |
| 8 | 01000 | 100 | $00101-0$ |
| 9 | 01001 | 111 | $00111-1$ |
| 10 | 01010 | 1000 | $01000-0$ |
| 11 | 01011 | 110 | $00111-0$ |
| 12 | 01100 | 0011 | $00100-1$ |
| 13 | 01101 | 01 | $00001-1$ |
| 14 | 01110 | 10001 | $01010-1$ |
| 15 | 01111 | 1101 | $01011-1$ |
| 16 | 10000 | 101 | $00101-1$ |
| 17 | 10001 | 1100 | $01011-0$ |

3.c.


| 01000 | 0 | 001110 | 010001 | 00001 | 1 | 010101 | 01011 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

There is no reason for the receiver to conclude that a transmission error has occurred: Indeed, although 001011 has been received incorrectly as 001001 , this error does not prevent from the decoding operation to proceed, resulting in 0011 being decoded instead of 101 .

| $N$ | Received codeword | $N$ binary | Decoded phrase |
| :---: | :---: | :---: | :---: |
| 0 |  | 00000 | (empty) |
| 1 | $00000-0$ | 00001 | 0 |
| 2 | $00001-0$ | 00010 | 00 |
| 3 | $00000-1$ | 00011 | 1 |
| 4 | $00010-1$ | 00100 | 001 |
| 5 | $00011-0$ | 00101 | 10 |
| 6 | $00010-0$ | 00110 | 000 |
| 7 | $00011-1$ | 00111 | 11 |
| 8 | $00101-0$ | 01000 | 100 |
| 9 | $00111-1$ | 01001 | 111 |
| 10 | $01000-0$ | 01010 | 1000 |
| 11 | $00111-0$ | 01011 | 110 |
| 12 | $01000-1$ | 01100 | 1001 |
| 13 | $00001-1$ | 01101 | 01 |
| 14 | $01010-1$ | 01110 | 10001 |
| 15 | $01011-1$ | 01111 | 1101 |
| 16 | $00100-1$ | 10000 | 0011 |
| 17 | $01011-0$ | 10001 | 1100 |

## 3.d.



| 00001 | 1 | 11000 | 0 | 00111 | 0 | 01000 | 1 | 01010 | 1 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 01011 | 1 | 00101 | 1 | 010110 |  |  |  |  |  |

There is reason for the receiver to conclude that a transmission error has occurred: The tenth received codeword 110000 cannot be decoded because it calls for adding bit value 0 to the pattern to be found at $N=24=11000$ which is larger that 11 , and so has not beeen constructed yet!

It should be pointed out that the previous codeword 010000 is already transmitted in error as codeword 000011 . However, as in Part 3.c the receiver will not be able to conclude that a transmission error has occurred.

| $N$ | Received codeword | $N$ binary | Decoded phrase |
| :---: | :---: | :---: | :---: |
| 0 |  | 00000 | (empty) |
| 1 | $00000-0$ | 00001 | 0 |
| 2 | $00001-0$ | 00010 | 00 |
| 3 | $00000-1$ | 00011 | 1 |
| 4 | $00010-1$ | 00100 | 001 |
| 5 | $00011-0$ | 00101 | 10 |
| 6 | $00010-0$ | 00110 | 000 |
| 7 | $00011-1$ | 00111 | 11 |
| 8 | $00101-0$ | 01000 | 100 |
| 9 | $00111-1$ | 01001 | 111 |
| 10 | $00001-1$ | 01010 | 01 |
| 11 | $11000-0$ | 01011 | Cannot decode |
| 12 | $01111-0$ | 01100 |  |
| 13 | $01000-1$ | 01101 |  |
| 14 | $01010-1$ | 01110 |  |
| 15 | $01011-1$ | 0111 |  |
| 16 | $00101-1$ | 10000 |  |
| 17 | $01011-0$ | 10001 |  |

4. $\qquad$
4.a. The encoding

$$
\begin{equation*}
C(\boldsymbol{m})=(\operatorname{Par}(\boldsymbol{m}), \boldsymbol{m}, \boldsymbol{m}), \quad \boldsymbol{m} \in \mathcal{H}_{k} \tag{1.3}
\end{equation*}
$$

provided by the code $C: \mathcal{H}_{k} \rightarrow \mathcal{H}_{n}$ can be expressed as

$$
C(\boldsymbol{m})=\boldsymbol{m} \boldsymbol{G}
$$

where the generating $\boldsymbol{G}$ is the $k \times(2 k+1)$ matrix given by

$$
\boldsymbol{G}=\left[\boldsymbol{P} \mid \boldsymbol{I}_{k}\right] \quad \text { with } \quad \boldsymbol{P}=\left[\mathbf{1}_{k}^{t} \mid \boldsymbol{I}_{k}\right] .
$$

4.b. Here it is plain that the $(k+1) \times n$ matrix $\boldsymbol{H}$ is given by

$$
\boldsymbol{H}=\left[\begin{array}{l|l}
\boldsymbol{I}_{k+1} & \mathbf{1}_{k} \\
\boldsymbol{I}_{k}
\end{array}\right] .
$$

4.c. Note that

$$
\boldsymbol{H}^{t}=\left[\begin{array}{c}
\boldsymbol{I}_{k+1} \\
\mathbf{1}_{k}^{t} \mid \boldsymbol{I}_{k}
\end{array}\right]
$$

so that

$$
\left.\begin{array}{rl}
\mathcal{C} & =\left\{\boldsymbol{x} \in \mathcal{H}_{n}: \boldsymbol{x} \boldsymbol{H}^{t}=\mathbf{0}_{k+1}\right\} \\
& =\left\{(x, \boldsymbol{y}, \boldsymbol{z}) \in \mathcal{H}_{n}: \begin{array}{l}
x \in\{0,1\} \\
\boldsymbol{y}, \boldsymbol{z} \in \mathcal{H}_{k}
\end{array} \quad \text { and } \begin{array}{c}
x+\boldsymbol{z 1}_{k}^{t}=0 \\
\boldsymbol{y}+\boldsymbol{z}=\mathbf{0}_{k}
\end{array}\right\}
\end{array}\right\}
$$

as expected.
4.d. With $\boldsymbol{c}=(\operatorname{Par}(\boldsymbol{z}), \boldsymbol{z}, \boldsymbol{z})$ for some $\boldsymbol{z}$ in $\mathcal{H}_{k}$, we have $\boldsymbol{c}=\mathbf{0}_{n}$ if and only if $\boldsymbol{z}=\mathbf{0}_{k}$. With this in mind,

$$
\begin{align*}
d_{H}(\mathcal{C}) & =\min \left(w_{H}(\boldsymbol{c}): \begin{array}{c}
\boldsymbol{c} \neq \mathbf{0}_{n} \\
\boldsymbol{c} \in \mathcal{C}
\end{array}\right) \\
& =\min \left(w_{H}(\operatorname{Par}(\boldsymbol{z}), \boldsymbol{z}, \boldsymbol{z}): \begin{array}{l}
\boldsymbol{z} \neq \mathbf{0}_{k} \\
\boldsymbol{z} \in \mathcal{H}_{k}
\end{array}\right) \\
& =\min \left(\operatorname{Par}(\boldsymbol{z})+2 w_{H}(\boldsymbol{z}): \begin{array}{l}
\boldsymbol{z} \neq \mathbf{0}_{k} \\
\boldsymbol{z} \in \mathcal{H}_{k}
\end{array}\right) \\
& =3 \tag{1.5}
\end{align*}
$$

as easily seen through the following argument: First it is plain that $d_{H}(\mathcal{C}) \leq 3$ since

$$
d_{H}(1, \boldsymbol{z}, \boldsymbol{z})=3
$$

if we select $\boldsymbol{z}$ in $\mathcal{H}_{k}$ with exactly one non-zero component. We now show that it is not possible to find $\boldsymbol{z} \neq \mathbf{0}_{k}$ in $\mathcal{H}_{k}$ such that

$$
d_{H}(1, \boldsymbol{z}, \boldsymbol{z})=2 .
$$

This would amount to

$$
\operatorname{Par}(\boldsymbol{z})+2 w_{H}(\boldsymbol{z})=2 .
$$

If $\operatorname{Par}(\boldsymbol{z})=0$, then $2 w_{H}(\boldsymbol{z})=2$, i.e., $w_{H}(\boldsymbol{z})=1$ and so $\operatorname{Par}(\boldsymbol{z})=1$, a contradiction. On the other hand, if $\operatorname{Par}(\boldsymbol{z})=1$, then $2 w_{H}(\boldsymbol{z})=1$ and a contradiction again arises.

It is also not possible to find $\boldsymbol{z} \neq \mathbf{0}_{k}$ in $\mathcal{H}_{k}$ such that

$$
d_{H}(1, \boldsymbol{z}, \boldsymbol{z})=\operatorname{Par}(\boldsymbol{z})+2 w_{H}(\boldsymbol{z})=1 .
$$

Indeed, this requirement leads necessarily to $w_{H}(\boldsymbol{z})=0$ and $\operatorname{Par}(\boldsymbol{z})=1$, with the latter contradicting the former!
4.e. Because $d_{H}(C)=3=2+1$, all error patterns with exactly two bit reversals will be detected. Some error patterns with three bit reversals will not be detected, e.g. with $k=3, \boldsymbol{m}=(1,1,1), \boldsymbol{c}=(1,1,1,1,1,1,1)$ and $\boldsymbol{r}=(0,0,1,1,0,1,1)-$ Contrast this with the fact that all odd-numbered bit reversals would be detected if bit parity check codes
are used. Some error patterns with four bit reversals will be detected, e.g., with $k=3$, $\boldsymbol{m}=(1,1,1), \boldsymbol{c}=(1,1,1,1,1,1,1)$ and $\boldsymbol{r}=(0,0,0,1,1,0,1)-$ Again contrast this with the situation for bit parity check codes.
4.f. Because $d_{H}(C)=3=2.1+1$, a single error (or bit reversal) will always be corrected under Nearest Neighbor decoding.

