## ENEE 630 Homework 4<sup>1</sup>

## Material Covered: Multirate Maximally/Non-maximally Decimated System, Alias Free, Distortion Function, AC matrix, P matrix

**Problem 1** Suppose the M-channel maximally decimated QMF bank is alias-free, and let T(z) be the distortion function. Suppose we define a new filter bank in which the analysis and synthesis filters are interchanged, that is  $F_k(z)$  are the analysis filters and  $H_k(z)$  are the synthesis filters. Show that the resulting system is free from aliasing and has the same distortion function T(z). So we can swap each  $F_k(z)$  with the corresponding  $H_k(z)$  without changing the input/output properties.

(Hint: Use AC matrix formulation cleverly.)

**Problem 2** Consider Fig. P-2 with  $T = W^*$  (a uniform DFT analysis bank). Suppose  $R_k(z)$  are chosen as in Eq (1.1) below, so that the product  $R_k(z)E_k(z)$  is independent of k. This ensures that aliasing has been cancelled.

$$R_k(z) = \prod_{l \neq k} E_l(z) \tag{1.1}$$

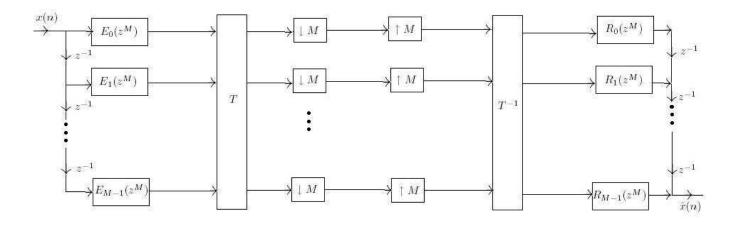


Figure: P-2

a) First as a review, verify that the uniform-shift relations  $H_k(z)=H_0(zW^k)$  and  $F_k(z)=W^{-k}F_0(zW^k)$  hold, where  $W=e^{-\frac{j2\pi}{M}}$ .

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- b) Express the distortion function T(z) in terms of  $E_k(z)$ ,  $0 \le k \le M-1$ .
- c) Show that the AC matrix  $\mathbf{H}(z)$  is left circulant.
- d) Find the determinant of  $\mathbf{H}(z)$  in terms of  $E_k(z)$ . Show that this determinant is equal to  $cz^{-K}T(z)$  where  $c \neq 0$  and K is some integer. Thus,  $\mathbf{H}(e^{j\theta})$  is singular if and only if  $T(e^{j\theta}) = 0$ . Hint: based on the polyphase representation discussed in the lecture, show first that for any M-channel maximally decimated filter bank, the relation between the polyphase matrix  $\mathbf{E}(z)$  and AC matrix  $\mathbf{H}(z)$  is

$$\mathbf{H}(z) = \mathbf{W}^H \mathbf{D}(z) \mathbf{E}^T(z^M), \tag{1}$$

where  $\mathbf{D}(z) = diag[1, z^{-1}, ..., z^{-M+1}]$  is a diagonal matrix, and  $\mathbf{W^H}$  is the transpose conjugate of the  $M \times M$  DFT matrix.

e) Suppose  $H_0(z) = \sum_{n=0}^N h[n]z^{-n}$ . Assume h[n] is real with h[n]=h[N-n] (linear phase FIR). This property imposes certain constraints on the polyphase components  $E_k(z)$ . In particular, for some combinations of N and M, it is possible that some polyphase components  $E_L(z)$  is an *odd* order filter with *symmetric* impulse response. This implies  $E_L(e^{j\pi}) = 0$ . Using part (b) prove that this implies  $T(e^{jw}) = 0$  for  $w = \frac{\pi}{M}, \frac{3\pi}{M}, \ldots$ , etc. To avoid this situation, the relative values of M and N must be carefully chosen. Explain how. (Hint: You can do it using the notation  $m_0$  as explained below. For M=2, this should reduce to the requirement that N be odd, as seen in the lecture.)

Note on the  $m_0$  notation (as shown in Homework#2): Let  $H(z) = \sum_{n=0}^{N} h[n]z^{-n}$  with h[n] = h[N-n]. Consider the Type-1 polyphase representation for H(z). The symmetry of h[n] reflects into the coefficients of the polyphase components  $E_l(z)$ : there exists an integer  $m_0$  (with  $0 \le m_0 \le M-1$ ) such that  $e_k[n]$  is the image of  $e_{m_0-k}[n]$  for  $0 \le k \le m_0$ , and  $e_k[n]$  is the image of  $e_{M+m_0-k}[n]$  for  $m_0+1 \le k \le M-1$ .

**Problem 3** Suppose we have a three-channel alias-free QMF bank with distortion function  $T(z) = \frac{z^{-2}}{1-az^{-1}}$ . Find closed form expression for the elements of the 3x3 matrix  $\mathbf{P}(z)$  in terms of a and z.

- **Problem 4** Consider the M-channel analysis/synthesis system in Figure P-4(a). This reduces to the traditional QMF bank if L=M. If L < M, this is called a non-maximally decimated QMF bank. With such a system, elimination of aliasing turns out to be relatively easy.
  - a) Find an expression for  $\hat{X}(z)$ .

b) Suppose M=4 and L=3. Suppose the analysis bank is a uniform DFT bank, i.e.  $H_k(z) = H(zW_4^k), 0 \le k \le 3$ . Assume that  $H_0(z)$  has a response shown in Figure P-4(b). How small would  $\epsilon$  have to be so that  $H_0(z)$  does not overlap with the aliased versions  $H_0(zW_3^n), n = 1, 2$ ? With such  $\epsilon$ , show typical responses of  $F_k(z), 0 \le k \le 3$  such that aliasing terms are eliminated. (The trivial choice  $F_k(z) = 0$  is obviously forbidden.) What is the distortion transfer function after such elimination of aliasing?

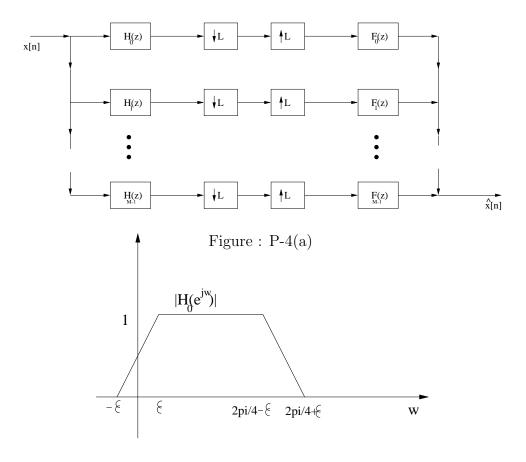


Figure: P-4(b)

*Note:* In non-maximally decimated systems the total number of samples per unit time at the output of the decimated analysis bank is evidently more than that for x[n]. This is the price paid to obtain the simplicity of alias elimination.